

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Shunpei YAMAZAKI )  
Serial No.: 10/694,477 )  
Filed: October 27, 2003 )  
Art Unit: 2822 )  
Examiner: Mark V. Prenty )  
Confirmation No: 4264 )  
For: NONVOLATILE MEMORY AND ELECTRONIC )  
APPARATUS )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. Pursuant to 37 CFR §1.98(a)(2)(i) copies of cited US patent and US patent application publications are not submitted herewith.

**REFERENCES CITED HEREIN**

The references submitted in "List I" on form 1449 were cited in an Office Action mailed November 20, 2007, in counterpart Japanese application no. JP 09-333453. The US references listed on this form are family members of the cited foreign references.

The Japanese references submitted in "List II" on form 1449, were cited in an Office Action mailed December 4, 2007, in counterpart Japanese application no. JP 09-340754. The US references listed on this form are also family members of the cited foreign references.

Finally, the reference submitted in "List III" on form 1449 is a document that is cited in the specification of the present invention.

LIST I

The family of JP 8-274330 cited herein includes:

US Patent No. 6,306,709 B1 \*

US Patent No. 6,498,376 B1 \*

The family of JP 3-54869 cited herein includes:

EP Patent No. 0 369 676 A2 \*\*

US Patent No. 5,262,987 \*\*

The family of JP 11-17169 cited herein includes:

US Patent No. 6,232,642 B1 \*\*

The family of JP 10-65164 cited herein includes:

US Patent No. 6,653,687 B1 \*

\* (Not cited in 11/20/07 JP Office Action and previously submitted and entered in this case)

\*\* (Not cited in 11/20/07 JP Office Action)

## LIST II

The family of JP 8-330598 cited herein includes:

US Patent No. 6,329,269 B1 \*\*\*

US Patent Application Publication No. US 2002/0102820 A1 \*\*\*

\*\*\* (Not cited in 12/04/07 JP Office Action)

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

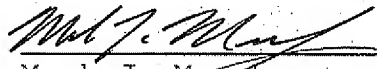
The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

FEE

This IDS is being filed within three months of the references being cited in the counterpart Japanese applications, so no fee is believed due for this IDS. However, if any such fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,



Mark J. Murphy

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